



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

E.H.G.
10/20/03
#4

Docket No. A01197
MMB/yv

In re application of:
Carlos Alfonso Cruz, et al.

Serial No.: 10/082,393
Confirmation No.: 4006

1713
Group Art: ~~1711~~
Examiner: unknown

Filed: February 25, 2002

For: PROCESSES FOR PREPARING:
NON-GELLING HIGH POLYMER:
COMPOSITIONS AND THERMO-
PLASTIC BLENDS THEREOF X

Mail Stop NON-FEE AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

In accordance with the requirements of 37 C.F.R. §§ 1.97 and 1.98, applicants and their attorneys wish to make of record the following patents and patent references, copies of which are provided herewith, in connection with the above-identified application. The citation of the following patents and patent references should not be construed as an admission that any of them constitute statutory prior art relative to the present application.

U.S. Patents

3,062,765
3,833,686*
4,086,296
4,107,114**
5,506,307*
6,031,047

Foreign References

EP 0 313 849**

EP 0 697 423**

FR 2 328 505**

The above-listed patents and patent references that are designated with an asterisk (*) are discussed in the specification of the present application. The above-listed patents and patent references that are designated with a double asterisk (**) have been cited in a European Search Report issued in connection with applicants' corresponding European Application No. 02 25 1051, filed February 15, 2002. A copy of the aforesaid European Search Report is enclosed herewith and provides an indication of the relevance of the patents and patent documents cited therein. In addition, it is noted that FR 2 328 505 is a non-English document. US Patent No. 4,107,114 corresponds to FR 2 328 505 and is, therefore, being cited and provided herewith to provide the Examiner with an English language version of FR 2 328 505. Applicants and their attorney are not currently in possession of an English-language translation of EP 0 313 849, but will be happy to provide such a translation upon the Examiner's request.

Applicants also take this opportunity to notify the Examiner of the existence of the following co-pending, commonly assigned U.S. patent application, which may be considered relevant to the present invention and a copy of which is submitted herewith for the Examiner's convenience and consideration:

U.S. Serial No. 10/082,274

Entitled: NON-GELLING HIGH POLYMER COMPOSITIONS
AND THERMOPLASTIC BLENDS THEREOF

Filed: February 25, 2002

(Atty Docket: A01198)

It is noted that the remaining three above-listed patents, i.e., US Patent Nos. 3,062,765, 4,086,296 and 6,031,047, have been cited during prosecution of the above-listed co-pending commonly assigned U.S. patent application (i.e., US Serial No.

10/082,274) and are, therefore, being cited and provided herewith for the sake of ensuring complete disclosure.

A completed Form PTO-1449 is being provided (in duplicate) herewith to facilitate the Examiner's consideration and entry of the above-listed patents and patent references in connection with the present application.

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information as defined in 37 C.F.R. § 1.56(a) exists. The Examiner may find the enclosed documents to be relevant to the invention of the present application.

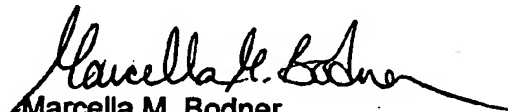
No fees are believed to be due in connection with the submission of this Information Disclosure Statement, since it is believed that it is being submitted prior to the mailing date of a first Office Action on the merits for the present application. If, however, any such fees, including petition and extension fees, are due in connection with the submission of this Information Disclosure Statement, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 18-1850. In the meantime, please direct all future correspondence relating to the present application to the undersigned attorney.

Respectfully Submitted,

Date:

Sept. 15, 2003

Rohm and Haas Company
100 Independence Mall West
Philadelphia, Pa. 19106-2399


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1713

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty Docket No.: A01197
MMB/yv

In re Application of:
Carlos Alfonso Cruz, et al.

Serial No.: 10/082,393

: Group Art Unit: ¹⁷¹³~~1711~~

Confirmation No. 4006

:

Filed: 02/25/2002

: Examiner: Not yet assigned

For: **PROCESSES FOR PREPARING NON-GELLING HIGH POLYMER
COMPOSITIONS AND THERMOPLASTIC BLENDS THEREOF**

MAIL STOP NON-FEE AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as first-class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated next to my signature below:

Information Disclosure Statement
List of Cited Art by Applicant (in duplicate)
Cited Art
Return Receipt Postcard

Date 09/17/03

Yvette Viglione
Signature



Sheet 1 of 1

LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

Atty Docket No. A01197	Serial No. 10/082,393
Applicant Carlos Alfonso Cruz, et al.	
Filing Date 02/25/2002	Group 1711

U.S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
	AA	3,062,765	11/06/1962	Sherman, et al.		
	AB	3,833,686	09/03/1974	Grochowski, et al.		
	AC	4,086,296	04/25/1978	Carty, et al.		
	AD	4,107,114	08/15/1978	Nakayama, et al.		
	AE	5,506,307	04/09/1996	Memon, et al.		
	AF	6,031,047	02/29/2000	Brady, et al.		
	AG					
	AH					
	AI					
	AJ					
	AK					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
	AL	0 313 849 B1	24.04.1991	Europe		
	AM	0 697 423 B1	20.01.1999	Europe		
	AN	2 328 505	22.10.1976	France		
	AO					
	AP					

OTHER ART (Including Author, Title, Date, Pertinent Patents, etc.)

	AR	
	AS	
	AT	

Examiner

Date Considered

*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.